

SCHWEGMAN ■ LUNDBERG ■ WOESSNER ■ KLUTH  
PATENT, TRADEMARK & COPYRIGHT ATTORNEYS  
P.O. Box 2938  
Minneapolis, MN 55402  
Telephone (612) 373-6900 Facsimile (612) 339-3061

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AUG 30 2000

**\* Please deliver directly to  
Examiner Karl Frech in Art Unit 2876. \***

TECHNOLOGY CENTER 2800

August 30, 2000

TO: Examiner Karl Frech  
FAX NUMBER (703) 308-7722

FROM: Ann M. McCrackin  
OUR REF: 457.010US1  
TELEPHONE: (612) 349-9592

Documents Transmitted: **AMENDMENT AND RESPONSE UNDER 37 C.F.R. 1.116 (Formal), and  
Substitute Form 1449 (and supporting IDS docs originally mailed 1/5/00)**

Total pages of this transmission, including cover letter: 11 pages

If you do NOT receive all of the pages described above, please telephone us at 612-349-9592, or fax us at 612-339-3061.

In re. Patent Application of: Robert Neal Goman et al.

Examiner: Karl Frech

Serial No.: 09/076,022

Group Art Unit: 2876

Filed: May 11, 1998

Docket No.: 457.010US1

Title: SMART CARD PERSONALIZATION IN A MULTISTATION ENVIRONMENT

Dear Examiner Frech,

Per our discussions, attached is our formal AMENDMENT AND RESPONSE UNDER 37 C.F.R. 1.116 in this matter.

Also enclosed is a Substitute Form 1449 intended to replace the Form 1449 filed with our Information Disclosure Statement mailed 1/5/00. The substitute Form 1449 has been amended to include the dates of the two cited English Abstracts. Would you kindly initial these references, and return the substitute form with your next correspondence.

Thank you for your courteous assistance.

Ann McCrackin

I hereby certify that this correspondence is being transmitted by facsimile to Attn.: Examiner Frech, Group Art Unit 2876, Commissioner of Patents, Washington, D. C. 20231, on August 30, 2000.

Name: Ann McCrackin

*Ann M. McCrackin*

C

In re Patent Application of: Robert Near Gorman et al.

Title: SMART CARD PERSONALIZATION IN A MULTISTATION  
ENVIRONMENT

Serial No.: 09/076,022

Filing Date: May 11, 1998

Receipt is hereby acknowledged for the following in the United States Patent and  
Trademark Office:

**CONTENTS:** An Amendment and Response (5 Pages); a Supplemental Information  
Disclosure Statement (1 pgs.), Form 1449 (1 pgs.), and copies of 3 cited references; a check  
in the amount of \$240.00 to cover the fee for consideration of Information Disclosure  
Statement under 97(c); a Return Postcard and TRANSMITTAL SHEET.

Mailed: January 5, 2000  
AMM1/cbb

Docket No.: 457.010US1  
Due Date: January 5, 2000

